



01-09-03

Express Mail No.: EL 500 577 534

TECH CENTER 1600/2900

JAN 13 2003

RECEIVED

#20  
S.9  
1/15/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: HOEKSTRA et al.

Group Art Unit: 1646

Application No.: 09/385,918

Examiner: Andres, J.L.

Filed: August 30, 1999

Attorney Docket No.: 10624-048

For: METHODS FOR MODULATING  
SIGNAL TRANSDUCTION MEDIATED  
BY TGF-B AND RELATED PROTEINS

**SUPPLEMENTAL INFORMATION**  
**DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)(2)**

**BOX APPLICATIONS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. § 1.56, enclosed is a copy of six publications (References BG and BL).

Applicants submit herewith "List of References Cited." Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application.

Applicants respectfully request that this information disclosure statement be considered by the Examiner and made of record in the above-identified application by the Examiner's completion and return of the revised Form PTO. Pursuant to 37 C.F.R. § 1.17(p),

01/10/2003 NMDHAMM1 00000006 161150 09385918

01 FC:1806 180.00 CH

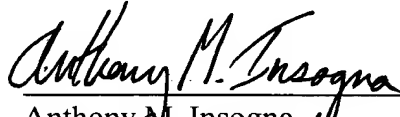
NY2 - 1381226.1

the fee believed due for filing this Information Disclosure Statement is \$180.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

A copy of this correspondence is enclosed.

Respectfully submitted,

Date: January 8, 2003

  
Anthony M. Insogna 35,203  
(Reg No.)  
*by Sean F. Hebut* Reg. No.: 46,764  
**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036  
Tel. No. (212) 790-9090

Enclosures

**RECEIVED**  
JAN 13 2003  
TECH CENTER 1600/2900**LIST OF REFERENCES CITED BY APPLICANTS**

(Use several sheets if necessary)

ATTY. DOCKET NO.

10624-048

APPLICATION NO.

09/385,918

APPLICANT

HOEKSTRA et al.

FILING DATE

August 30, 1999

GROUP

1646

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BG	6,103,869	08/15/2000	Souchelnytokyi et al.	530	330	
	BH	6,087,122	07/11/2000	Hustad et al.	435	29	
	BI	6,060,262	05/09/2000	Beer-Romero et al.	435	15	
	BJ	6,001,619	12/14/1999	Beach et al.	435	193	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BK	WO 00/77168	12/21/2000	PCT				

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

	BL	Wrana et al., 2000, "The Smad pathway," Cytokine & Growth Factor Reviews 11:5-13.

**EXAMINER****DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.